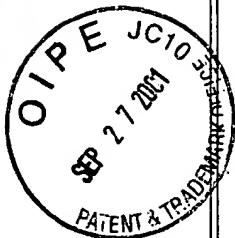


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



-----ooo:0:ooo-----  
Application of :  
Mika SHIIKI et al. :  
Serial No. 09/916,527 : Group Art Unit: 2811  
Filed: July 27, 2001 :  
For: SEMICONDUCTOR DEVICE AND :  
METHOD OF MANUFACTURING :  
THE SAME : Docket No. S004-4335

-----ooo:0:ooo-----

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, DC 20231

Attention: Box Missing Parts

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NON-PROVISIONAL APPLICATION**

S I R:

On September 4, 2001, the PTO issued a Notice To File Missing Parts Of Nonprovisional Application ("Notice") advising that the application was filed without a declaration and providing a two-month period in which to submit the declaration and surcharge for late filing thereof.

In response to the PTO Notice, a duly signed declaration is submitted herewith together with a check in the sum of \$130.00 to cover the required surcharge for the late

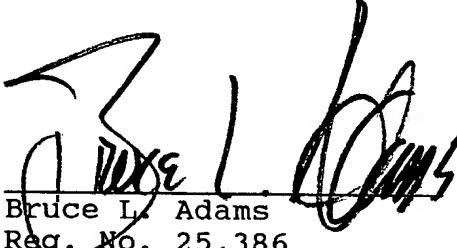
**MAILING CERTIFICATE ON  
LAST PAGE**

filing of the declaration. Also submitted is a copy of the PTO Notice. Should the check prove insufficient for any reason, authorization is hereby given to charge any such deficiency to Deposit Account No. 01-0268.

It is respectfully requested that the processing of the application be completed, and that the filing receipt be issued in due course.

Respectfully submitted,

ADAMS & WILKS  
Attorneys for Applicants

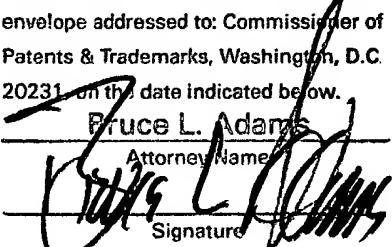
By: 

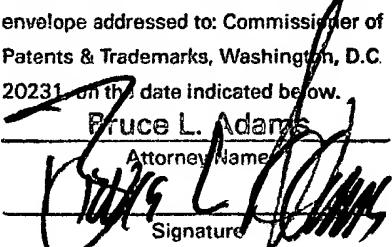
Bruce L. Adams  
Reg. No. 25,386

50 Broadway  
31st Floor  
New York, NY 10004  
(212) 809-3700

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231 on the date indicated below.

  
Bruce L. Adams  
Attorney Name

  
Signature  
September 24, 2001

Date



#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,527	07/27/2001	Mika Shiiki	S004-4335

ADAMS & WILKS  
31st Floor  
50 Broadway  
New York, NY 10004

## FORMALITIES LETTER



\*OC000000006512022\*

Date Mailed: 09/04/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

09/28/2001 WABRHAM1 00000008 09916527

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